FORM NO. MGT 9 EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED ON 31.12,2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014

I.REGISTRATION & OTHER DETAILS:

CIN		U29240D	L1998PT	C344616			
Registration I	Date	20/11/1998					
Name of the O	Company	CASE	NEW	HOLLAND	CONSTRUCTION		
		EQUIPMENT (INDIA) PRIVATE LIMITED					
Category/		Company Limited by Shares					
Sub-category	of the Company	Indian No	n-Govern	mental Company	nental Company		
Address of th	ne Registered office &				Center Commercial		
contact details	_S ^	Complex, Saket New Delhi South Delhi-110017					
Whether liste	d company	No					
Name, Addre	ss & contact details of	Not App	licable				
the Registrar	& Transfer Agent, if						
any.							

II.PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S.No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of Earth moving Equipment's (Bulldozers, angle dozers, graders, scrapers, levelers, mechanical shovels, loaders, off road dumping, trucks etc.)	28243	92.99%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANIES:

S.No.	Name of the Company	<u>CIN/GLN</u>	Holding/ Subsidiary/ Associates	% of Shares Held	Applicable Section
		Not Applicable			

[^]Change in Registered office w.e.f. January 1st, 2019

IV. SHARE HOLDING PATTERN:

(Equity Share Capital Breakup as percentage of Total Equity)

i) CATEGORY-WISE SHARE HOLDING-

Category wise Shareholding	wise No. of Shares held at the beginning of the year [As on 01-Jan-2017]			No. of Shares held at the end of the year [As on 31-Dec-2017] Demat Physical Total % of				% Change during the year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	

									1
A. Promoters	-		-	-	-	-	-	-	-
(1) Indian	-	-	-	-	-	-	-	-	-
a) Individual/ HUF	-	-	-	-	-	-	-	-	-
b) Central Govt.	_	_	_	_	_	_	_	_	_
c) State Govt(s)	-	12,005,000	12,005,000	50%	-	12,005,000	12,005,000	50%	- No change
d) Bodies Corp.		12,003,000	12,003,000	3070		12,003,000	12,003,000	3070	140 change
e) Banks / FI	_	-	-	-	_	_	-	_	-
f) Any other	_	_		_	_	_	_	_	_
1) I my outer	-	12,005,000	12,005,000	50%	-	12,005,000	12,005,000	50%	No change
Sub-Total (A)(1):-									
(2) Foreign									
a) NRI- Individuals	-	-	-	-	-	-	-	-	-
b) Other	-	-	-	-	-	-	-	-	-
Individuals	-	12,005,000	12,005,000	50%	_	12,005,000	12,005,000	50%	No change
c) Bodies Corporate	-	12,005,000	12,005,000	30%	-	12,005,000	12,005,000	30%	No change
d) Banks/F	-								
e) Any Other	-								
Sub-Total (A)(2):-	-	12,005,000	12,005,000	50%		12,005,000	12,005,000	50%	No change
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	-	240,10,000	240,10,000			240,10,000	240,10,000		No change
B. Public Shareholding	_	-	-	-	-	-	-	-	-
4 -									
1.Institutions	-	-	-	-	-	-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s) e) Venture	-	-	-	-	-	-	-	-	-
Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	1	-	-	_	-	-	_	-	-
g) FIIs	-	-	-	-	-	-	-	-	-

h) Foreign									
Venture Capital									
Funds	-	-	-	-	-	-	-	-	-
i) Others									
(specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
2. Non-									
Institutions	-	-	-	-	-	-	-	-	-
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	_	-	-	_	-	-	-
i) Individual							_		
shareholders									
holding nominal									
share capital up									
to Rs. 1 lakh ii) Individual	-	-	-	-	-	-	-	-	-
shareholders									
holding nominal									
share capital in									
excess of Rs 1									
lakh									
c) Others	-	-	-	-	-	-	-	-	-
c) Others (specify)									
Sub-total	-	-	-	-	-	-	-	-	-
(B) (2):-	_	_		_	_	_	_	_	_
Total Public									
Shareholding									
(B)=(B)(1)+									
(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held									
by Custodian									
for GDRs &									
ADRs	-	- 240 10 000	- 240 10 000	-	-	240 10 000	240 10 000	-	- Nh
Grand Total	-	240,10,000	240,10,000			240,10,000	240,10,000		No change
(A+B+C)									

ii) SHAREHOLDING OF PROMOTER

S.No	Shareholder's Name	Shareholding	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbere d to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbe red to total shares	sharehol ding during the year	
1	CNH Industrial (India)	12,005,000	50%	-	12,005,000	50%	-	No change	

	Private Limited							
2	CNH	12,005,000	50%	-	12,005,000	50%	-	No change
	Industrial							
	America LLC							

iii) CHANGE IN PROMOTERS' SHAREHOLDING

		Shareholding beginning of the	at the e year	Cumulative Shareholding	during
Sl. No.	Name of the Promoter	No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the compan
	NA	NA		NA	У

iv) SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS: (OTHER THAN DIRECTORS PROMOTERS AND HOLDERS OF GDRS AND ADRS)

		Shareholding a of the year	t the beginning	Cumulative Shareholding during the year	
Sl. No.	Name of the Shareholder	No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the compa ny
	In the beginning of the year	=	=	-	-
	Change during the year	-	=	-	-
	At the end of the year	-	=	-	-

v) SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Sl. No.		Shareholdin beginning o	C	Cumulative Shareholding during the year		
	For Each of the Directors and KMP	No. of Shares		No. of Shares		
	At the beginning of the year	-	-	-	-	
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for Increase/Decrease (e.g. allotment/transfer/bonus/sweat equity etc.):	-	-	-	-	
	At the end of the year	-	-	-	-	

VI) <u>INDEBTNESS</u>

Indebtedness of the Company including Interest outstanding/accrued but not due for payment (INR Million)

indebtedness of the Company includ	Secured	Unsecured Loans	Deposit	Total In debtness
	Loans	onseeding Louis	Deposit	10001111000011000
	excluding			
	deposits+			
Indebtedness at the beginning of				
the financial year				
i) Principal	_	-	-	_
Amount	_	-	=	-
ii) Interest due but	_		-	
not paid				
iii) Interest accrued				
but not due				
Total (i+ii+iii)	-		-	
Change in Indebtness during the				
financial year				
 Addition 	-	-	-	-
 Reduction 	-		-	
Net Change	-	-	-	-
Indebtness at the end of the				
financial year				
i) Principal	-	-	-	-
Amount	-	-	-	-
ii) Interest due but	-	-	-	-
not paid				
iii) Interest accrued				
but not due				
Total (i+ii+iii)	-	-		-

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager- INR in Million

Sl. No.	Particulars of Remuneration	Raunak Varma	Abhijit Gupta	TOTAL
		Managing	Whole-time	AMOUNT
		Director	Director	
1.	Gross salary	-	6.60	6.60
	(a) Salary as per provisions			
	contained in section 17(1) of the			
	Income-tax Act, 1961			
	(b) Value of perquisites u/s	-	-	
	17(2) Income-tax Act, 1961	-	-	
	(c) Profits in lieu of salary			
	under section 17(3) Income-tax			
	Act, 1961			
2.	Stock Option	-	-	
3.	Sweat Equity	-	-	-
4.	Commission			
	- As % of profit	-	-	-
	- Others,	-	-	-
	specify			
5.	Others,			
	Bonus	-	0.93	0.93
	Contribution to Provident Fund		0.69	0.69
	Other Perks		2.07	2.07
	Total	-	10.29	10.29
	Ceiling as per the Act	NA	NA	NA

Remuneration to Other Directors- INR in Million B.

Sl. No.	Particulars of Remuneration	Name of Directors		Total Amount
	 Independent Directors 			
	Fee for attending board/committee	-	-	-
	meetings			
	 Commission 	-	-	-
	 Others, please specify 	-	-	-
	Total(1)	-	-	-
	2. Other Non- Executive Directors			
	 Fee for attending board/committee 	-	-	-
	meetings			
	 Commission 	-	-	-
	 Others, please specify 	-	-	-
·	Total (2)	-	-	-
	Total (B)= $(1+2)$	-	-	-
	Total Managerial Remuneration	-	-	-
	Overall Ceiling as per the Act	-	-	-

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD – (INR Million)

Sl.	Particulars of Remuneration	Personnel Other Than MD/Manager/WTD = (INR Million)				
No.		Nooman Akhtar Company Secretary*	Akhilendra Bahadur Singh Company Secretary#	Anshuman Singh Tomar Company Secretary\$	CFO	Total
1.	Gross salary (d) Salary as per provisions contained in section 17(1) of the Incometax Act, 1961 (e) Value of perquisites u/s 17(2) Income-tax Act, 1961 (f) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0.04	0.19	0.03	-	0.26
2.	Stock Option	_	-	-	-	_
3.	Sweat Equity	-	-	-	-	_
4.	Commission - As % of profit - Others specify					
5.	Others, please specify	_	-	-	-	_
	Total	0.04	0.19	0.03	-	0.26

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

Туре	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/C OURT]	Appeal made, if any (give details)
A. CO	OMPANY				

^{*} Resigned w.e.f March 24th, 2018. # appointment w.e.f. April, 2nd, 2018 and resigned w.e.f. November 12th, 2018 \$ appointed w.e.f December 3rd, 2018

Penalty	-	-	-	-	-	
Punishment	-	-	-	-	-	
Compounding	-	-	-	-	-	
B. DIRECTORS						
Penalty	-	-	-	-	-	
Punishment	-	-	-	-	-	
Compounding	-	-	-	-	-	
C. OTHER OFFICERS IN DEFAULT						
Penalty	-	-	-	-	-	
Punishment	=	-	=	=	=	
Compounding	-	-	-	-	-	