FORM NO. MGT 9 EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED ON 31.12.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014

I.REGISTRATION & OTHER DETAILS:

| CIN | U29240DL1998PTC344616 | | | | |
|--|--|--|--|--|--|
| Registration Date | 20/11/1998 | | | | |
| Name of the Company | CASE NEW HOLLAND CONSTRUCTION EQUIPMENT (INDIA) PRIVATE LIMITED | | | | |
| Category/ | Company Limited by Shares | | | | |
| Sub-category of the Company | Indian Non-Governmental Company | | | | |
| Address of the Registered office & contact details^ | Level-4, Rectangle- 1 D4, District Center Commercial Complex, Saket New Delhi South Delhi-110017 | | | | |
| Whether listed company | No | | | | |
| Name, Address & contact details of the Registrar & Transfer Agent, if | Not Applicable | | | | |
| any. | | | | | |

II.PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

| S.No. | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the company |
|-------|---|------------------------------------|------------------------------------|
| 1 | Manufacture of Earth moving Equipment's (Bulldozers, angle dozers, graders, scrapers, levelers, mechanical shovels, loaders, off road dumping, trucks etc.) | 28243 | 97.26% |

III.PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANIES:

| S.No. | Name of the Company | <u>CIN/GLN</u> | Holding/ Subsidiary/ Associates | % of Shares Held | Applicable Section |
|-------|---------------------|----------------|---------------------------------------|------------------------|-----------------------|
| | · | Not Applicable | | | |

IV.SHARE HOLDING PATTERN:

(Equity Share Capital Breakup as percentage of Total Equity)

i) CATEGORY-WISE SHARE HOLDING-

| Category wise Shareholding | See No. of Shares held at the beginning of the year [As on 01-Jan-2019] | | | No. of Shares held at the end of the year [As on 31-Dec-2019] | | | % Change during the year | | |
|-------------------------------|---|----------|-------|---|-------|----------|-----------------------------------|-------------------------|---|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| A. Promoters | - | | - | - | _ | - | - | - | - |
| (1) Indian | - | - | - | - | - | - | - | - | - |

| a) Individual/ HUF | _ | _ | _ | _ | _ | _ | _ | _ | _ |
|--|---|------------|------------|-----|---|------------|------------|-----|-----------|
| b) Central Govt. | _ | _ | _ | _ | _ | _ | _ | _ | _ |
| b) Central Govt. | - | - | - | - | - | - | - | - | - |
| c) State Govt(s) | _ | - | - | - | - | - | - | - | - |
| d) Bodies Corp. | - | 12,005,000 | 12,005,000 | 50% | - | 12,005,000 | 12,005,000 | 50% | No change |
| e) Banks / FI | - | - | _ | - | - | - | - | - | - |
| f) Any other | _ | - | - | - | - | - | - | - | - |
| Sub-Total (A)(1) :- | - | 12,005,000 | 12,005,000 | 50% | - | 12,005,000 | 12,005,000 | 50% | No change |
| (2) Foreign | | | | | | | | | |
| a) NRI- Individuals | - | - | - | - | - | - | - | - | - |
| b) Other Individuals | - | - | - | - | - | - | - | - | - |
| c) Bodies Corporate d) Banks/F | - | 12,005,000 | 12,005,000 | 50% | - | 12,005,000 | 12,005,000 | 50% | No change |
| e) Any | _ | | | | | | | | |
| Other | - | | | | | | | | |
| Sub-Total (A)(2):- | - | 12,005,000 | 12,005,000 | 50% | | 12,005,000 | 12,005,000 | 50% | No change |
| Total shareholding of Promoter (A) = (A)(1)+(A)(2) | - | 240,10,000 | 240,10,000 | | | 240,10,000 | 240,10,000 | | No change |
| | | | | | | | | | |
| B. Public Shareholding | - | - | - | - | - | - | - | - | - |
| 1.Institutions | _ | _ | _ | | _ | _ | | _ | _ |
| a) Mutual Funds | - | - | _ | - | _ | - | _ | - | - |
| b) Banks / FI | _ | - | - | - | - | - | - | - | - |
| c) Central Govt. | - | - | - | - | - | - | - | - | - |
| d) State Govt.(s) | - | - | - | - | - | - | - | - | - |
| e) Venture Capital Funds | _ | - | - | - | - | - | - | - | - |
| f) Insurance Companies | _ | _ | | | | | | | |
| g) FIIs | - | - | - | - | - | - | - | - | - |
| h) Foreign Venture Capital Funds | | - | _ | _ | _ | _ | _ | _ | |
| i) Others (specify) | - | - | - | - | - | - | - | - | - |

| Sub-total (B)(1):- | | | | | | | | | |
|---|---|------------|------------|---|---|------------|------------|---|-----------|
| 2. Non- | - | - | - | - | - | - | - | - | - |
| Institutions | _ | _ | _ | _ | | _ | | | |
| a) Bodies Corp. | _ | - | _ | _ | _ | _ | _ | _ | _ |
| i) Indian | | | | | | | | | |
| | - | - | - | - | - | - | - | - | - |
| ii) Overseas | - | - | - | - | - | - | - | - | - |
| b) Individuals | - | - | - | - | - | - | - | - | - |
| i) Individual shareholders holding nominal share capital up to Rs. 1 lakh | | | | | | | | | |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | - | - | - | - | - | - | - | - | - |
| | _ | _ | | _ | _ | _ | _ | | _ |
| c) Others | | - | | | | | | | |
| (specify) | _ | - | - | _ | _ | _ | _ | _ | - |
| Sub-total | | | | | | | | | |
| (B) (2):- | - | - | - | - | - | - | - | - | - |
| Total Public Shareholding (B)=(B)(1)+ (B)(2) | _ | _ | _ | _ | _ | _ | _ | _ | _ |
| C. Shares held by Custodian for GDRs & ADRs | | - | - | - | - | - | - | | _ |
| Grand Total (A+B+C) | - | 240,10,000 | 240,10,000 | | | 240,10,000 | 240,10,000 | | No change |

ii) SHAREHOLDING OF PROMOTER

| S.No | Shareholder's Name | Shareholding | at the beginning | ng of the year | Shareholding at the end of the year | | | year % change in sharehol | |
|------|--|------------------|---|--|-------------------------------------|---|--|----------------------------|--|
| | | No. of Shares | % of total Shares of the company | % of Shares Pledged/ encumbere d to total shares | No. of Shares | % of total Shares of the company | %of Shares Pledged / encumbe red to total shares | ding during the year | |
| 1 | CNH Industrial (India) Private Limited | 12,005,000 | 50% | - | 12,005,000 | 50% | - | No change | |
| 2 | CNH Industrial America LLC | 12,005,000 | 50% | - | 12,005,000 | 50% | - | No change | |

iii) CHANGE IN PROMOTERS' SHAREHOLDING

| | | Shareholding beginning of the | | Cumulative Shareholding the year | during |
|------------|----------------------|-------------------------------|--|--|--|
| Sl. No. | Name of the Promoter | No. of Shares | % of total shares of the company | No. of Shares | % of total shares of the compan y |
| | NA | NA | | NA | |

iv) SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS: (OTHER THAN DIRECTORS PROMOTERS AND HOLDERS OF GDRS AND ADRS)

| | | Shareholding a of the year | Cumulative Shareholding the year | during | |
|------------|------------------------------|----------------------------|--|---------------|--|
| Sl. No. | Name of the Shareholder | No. of Shares | % of total shares of the company | No. of Shares | % of total shares of the compa ny |
| | In the beginning of the year | - | - | - | _ |
| | Change during the year | = | - | = | - |
| | At the end of the year | - | - | - | - |

v) SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

| Sl. No. | | Shareholdin | C | Cumulative | Shareholding |
|---------|--|------------------|---|------------------|----------------------------------|
| | | beginning of | of the year | during the year | |
| | For Each of the Directors and KMP | No. of Shares | % of total shares of the company | No. of Shares | % of total shares of the company |
| | At the beginning of the year | - | - | - | - |
| | Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for Increase/Decrease (e.g. allotment/transfer/bonus/sweat equity etc.): | - | - | - | - |
| | At the end of the year | - | _ | - | - |

VI) <u>INDEBTNESS</u>

Indebtedness of the Company including Interest outstanding/accrued but not due for payment (INR Million)

| macetedness of the company meral | Secured | Unsecured Loans | Deposit | Total In debtness |
|----------------------------------|-----------|-----------------|---------|-------------------|
| | Loans | | | |
| | excluding | | | |
| | deposits+ | | | |
| Indebtedness at the beginning of | - | | | |
| the financial year | | | | |
| i) Principal | - | - | - | - |
| Amount | - | - | - | - |
| ii) Interest due but | - | | - | |
| not paid | | | | |
| iii) Interest accrued | | | | |
| but not due | | | | |
| Total (i+ii+iii) | - | | - | |
| Change in Indebtness during the | | | | |
| financial year | | | | |
| Addition | - | - | - | - |
| Reduction | - | | - | |
| Net Change | - | - | - | - |
| Indebtness at the end of the | | | | |
| financial year | | | | |
| i) Principal | - | - | - | - |
| Amount | - | - | - | - |
| ii) Interest due but | - | = | - | - |
| not paid | | | | |
| iii) Interest accrued | | | | |
| but not due | | | | |
| Total (i+ii+iii) | - | - | | - |

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager- INR in Million

| Sl. No. | Particulars of Remuneration | Raunak Varma | Abhijit Gupta | TOTAL |
|---------|--|----------------------|------------------------|----------------------|
| | | Managing Director | Whole-time Director | AMOUNT |
| 1. | Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | - | 6.88 | 6.88 |
| 2. | Stock Option | - | - | |
| 3. | Sweat Equity | - | - | - |
| 4. | Commission - As % of profit - Others, specify | - | - | - |
| 5. | Others, Bonus Contribution to Provident Fund Other Perks Lease Rent | - | 1.19 0.69 1.59 | 1.19 0.69 1.59 |
| | Total | - | 10.36 | 10.36 |
| | Ceiling as per the Act | NA | NA | NA |

B. Remuneration to Other Directors- INR in Million

| Sl. No. | Particulars of Remuneration Name o | | ctors | Total Amount | |
|---------|--|---|-------|---------------------|--|
| | | | | | |
| | Independent Directors | | | | |
| | • Fee for attending board/committee | - | - | - | |
| | meetings | | | | |
| | Commission | - | - | - | |
| | Others, please specify | - | - | - | |
| | Total(1) | ī | - | - | |
| | 2. Other Non- Executive Directors | | | | |
| | Fee for attending board/committee | - | - | - | |
| | meetings | | | | |
| | Commission | - | - | - | |
| | Others, please specify | 1 | - | - | |
| | Total (2) | - | - | - | |
| | Total (B)= $(1+2)$ | - | - | - | |
| | Total Managerial Remuneration | - | - | - | |
| | Overall Ceiling as per the Act | _ | _ | - | |

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD – (INR Million)

| | . Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD – (INR Million) | | | | |
|-----|---|--|-------------------------|-------|--|
| Sl. | PARTICULARS OF | | | | |
| No. | REMUNERATION | ANSHUMAN SINGH TOMAR, COMPANY SECRETARY | CHIEF FINANCIAL OFFICER | TOTAL | |
| 1. | Gross salary | 0.38 | - | 0.38 | |
| | (d) Salary as per provisions contained in section 17(1) of the Incometax Act, 1961 (e) Value of perquisites u/s 17(2) Income-tax Act, 1961 (f) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | | | | |
| 2. | Stock Option | - | - | - | |
| 3. | Sweat Equity | - | - | - | |
| 4. | Commission - As % of profit - Others specify | | | | |
| 5. | Others, please specify | - | - | - | |
| | Total | 0.38 | NA | 0.38 | |

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

| Туре | Section of the Companies Act | Brief Description | Details of Penalty/ Punishment/ Compounding fees imposed | Authority [RD/NCLT/C OURT] | Appeal made, if any (give details) |
|------------|------------------------------------|-------------------|--|----------------------------|--|
| A. C | OMPANY | | | | |
| Penalty | | NOT APPLICA | BLE | | |
| Punishment | | | | | |

| Compounding | | |
|-------------|----|--------------------------|
| В. | DI | RECTORS |
| Penalty | | |
| Punishment | | NOT APPLICABLE |
| Compounding | | |
| C. | O | THER OFFICERS IN DEFAULT |
| Penalty | | |
| Punishment | | NOT APPLICABLE |
| Compounding | | |