FORM NO. MGT 9 EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED ON 31.12.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014

I.<u>REGISTRATION & OTHER DETAILS:</u>

CIN	U29240DL1998PTC344616					
Registration Date	20/11/1998					
Name of the Company	CASE NEW HOLLAND CONSTRUCTION EQUIPMENT (INDIA) PRIVATE LIMITED					
Category/	Company Limited by Shares					
Sub-category of the Company	Indian Non-Governmental Company					
Address of the Registered office & contact details^	Level-4, Rectangle- 1 D4, District Center Commercial Complex, Saket New Delhi South Delhi-110017					
Whether listed company	No					
Name, Address & contact details of	Not Applicable					
the Registrar & Transfer Agent, if						
any.						

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S.No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of Earth moving Equipment's (Bulldozers, angle dozers, graders, scrapers, levelers, mechanical shovels, loaders, off road dumping, trucks etc.)	28243	97.33%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANIES:

<u>S.No.</u>	<u>Name of the</u> <u>Company</u>	<u>CIN/GLN</u>	Holding/ Subsidiary/ Associates	<u>% of</u> <u>Shares</u> <u>Held</u>	Applicable Section
		Not Applicable			

IV.SHARE HOLDING PATTERN:

(Equity Share Capital Breakup as percentage of Total Equity)

i) CATEGORY-WISE SHARE HOLDING-

Category wise Shareholding	No. of Shares held at the beginning of the year [As on 01-Jan-2020]			No. of Shares held at the end of the year [As on 31-Dec-2020]			% Change during the year		
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters	-		-	-	-	-	-	-	-
(1) Indian	-	-	-	-	-	-	-	-	-

Corporate Image: Corporate	a) Individual/ HUF	-	-	-	-	-	 -	-	-	-
c) State Gov((s) .	b) Central Govt.	-	_	-	_	-	-	-	-	-
d) Bodies Copp. - 12.005.000 12.005.000 50% No change e) Banks / FI . <td></td>										
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	c) State Govt(s)		-	-	-		-	-	-	-
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	d) Bodies Corp.	-	12,005,000	12,005,000	50%	-	12,005,000	12,005,000	50%	No change
- 12,005,000 12,005,000 50% - 12,005,000 50% No change Sub-Total (A)(1):- -	e) Banks / FI	-	-	-	-	-	-	-	-	-
Sub-Total (A)(1):- Image: Constraint of the second se	f) Any other	-	-	-	-	-	-	-	-	-
a) NRI- Individuals .		-	12,005,000	12,005,000	50%	-	12,005,000	12,005,000	50%	No change
a) NRI- Individuals .	(2) Foreign									
Individuals - 12,005,000 12,005,000 12,005,000 12,005,000 1000 50% - 12,005,000 12,005,000 12,005,000 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% - 12,005,000 12,005,000 50% No change Sub-Total - 12,005,000 12,005,000 12,005,000 240,10,000 240,10,000 240,10,000 240,10,000 No change Shareholding - - - - - - -	a) NRI- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate I2,005,000 12,005,000 50% - I2,005,000 12,005,000 50% No change d) Banks/F -		-	-	-	-	-	-	-	-	-
I .	c) Bodies Corporate	-	12,005,000	12,005,000	50%	-	12,005,000	12,005,000	50%	No change
Other . <td>Í</td> <td>-</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	Í	-								
Sub-1otal (A)(2):- - 240,10,000 240,10,000 240,10,000 240,10,000 No change shareholding of Promoter (A) = (A)(1)+(A)(2) - 240,10,000 240,10,000 240,10,000 No change B. Public Shareholding - - - - - - B. Public Shareholding - - - - - - - J.Institutions - - - - - - - - J.Mutual Funds - - - - - - - - - J.State Govt. (s) - - - - - - - - - - (b) Banks / Fl -		-								
Total shareholding of Promoter (A) = (A)(1),000 240,10,000 240,10,000 240,10,000 240,10,000 240,10,000 240,10,000 Pomoter (A) = (A)(1),(A)(2) B. Public Shareholding Image: Sharehold		-	12,005,000	12,005,000	50%		12,005,000	12,005,000	50%	No change
ShareholdingI.Institutionsa) Mutual Fundsa) Mutual Fundsb) Banks / FIc) Central Govt	Total shareholding of Promoter (A) =	-	240,10,000	240,10,000			240,10,000	240,10,000		No change
a) Mutual Funds		-	-	-	-	-	-	-	-	-
a) Mutual Funds	1.Institutions	_	_	_	_	_	_	_	_	_
b) Banks / FI . <				-					-	-
c) Central Govt <td>· · · · · · · · · · · · · · · · · · ·</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td> <td>_</td>	· · · · · · · · · · · · · · · · · · ·								-	_
d) State Govt.(s) -										
e)Venture Capital Funds <td>,</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td>	,	-	-	-	-	-	-	-	-	-
f) Insurance Companies - g) FIIs - - - h) Foreign Venture Capital Funds - i) Others	e) Venture									
Companies<		-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds - - - - - - - i) Others - - - - - - - -	Companies	-	-	-	-	-	-	-	-	-
Venture Capital Funds Capital - - - - - - - - i) Others - - - - - - - -	g) FIIs	-	-	-	-	-	-	-	-	-
i) Others	Venture Capital	-	-	_	-	_	-	-	-	-
(specify)								_		

0 + (1 + 1)		l				I		Ì	
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
Institutions	-	-	-	-	-	-	-	-	-
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh	_	-	_	_	_	_	_	_	_
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh									
	_	_	-	-	-	-	-	-	-
c) Others (specify)	_	-	-	_	_	_	-	_	_
Sub-total (B) (2):-	_	-	-	_	_	_	_	_	_
Total Public Shareholding (B)=(B)(1)+ (B)(2)	_	-	_	_	_	_	_	_	_
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	240,10,000	240,10,000			240,10,000	240,10,000		No change

ii) <u>SHAREHOLDING OF PROMOTER</u>

S.No	Shareholder's Name	Shareholding	at the beginni	ng of the year	Shareholding	Shareholding at the end of the year		% change in
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbere d to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbe red to total shares	sharehol ding during the year
1	CNH Industrial (India) Private Limited	12,005,000	50%	-	12,005,000	50%	-	No change
2	CNH Industrial America LLC	12,005,000	50%	-	12,005,000	50%	-	No change

iii) <u>CHANGE IN PROMOTERS' SHAREHOLDING</u>

		Shareholding beginning of the	Cumulative Shareholding the year	during	
SI. No.	Name of the Promoter	No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the compan y
	NA	NA		NA	

iv) <u>SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS: (OTHER THAN DIRECTORS</u> <u>PROMOTERS AND HOLDERS OF GDRS AND ADRS</u>)

		Shareholding at of the year	Cumulative Shareholding the year	during	
Sl. No.	Name of the Shareholder	No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the compa ny
	In the beginning of the year	-	-	-	-
	Change during the year	-	-	-	-
	At the end of the year	-	-	-	-

v) <u>SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL</u>

Sl. No.			ng at the	Cumulative	
		beginning of	of the year	during the	year
	For Each of the Directors and KMP	No. of	% of total	No. of	% of total shares
		Shares	shares of	Shares	of the company
			the		
			company		
	At the beginning of the year	-	-	-	-
	Date wise Increase/ Decrease in Promoters	-	-	-	-
	Shareholding during the year specifying				
	the reasons for Increase/Decrease (e.g.				
	allotment/transfer/bonus/sweat equity				
	etc.):				
	At the end of the year	-	-	-	-

VI) <u>INDEBTNESS</u>

Net Change

financial year

Total (i+ii+iii)

i) Amount ii)

not paid iii)

but not due

Indebtness at the end of the

Principal

Interest due but

Interest accrued

Indebtedness of the Company includ	ing Interest or	utstanding/accrued bu	t not due for paymer	nt (INR Million)
	Secured	Unsecured Loans	Deposit	Total In debtness
	Loans			
	excluding			
	deposits+			
Indebtedness at the beginning of				
the financial year				
i) Principal	-	-	-	-
Amount	-	-	-	-
ii) Interest due but	-		-	
not paid				
iii) Interest accrued				
but not due				
Total (i+ii+iii)	-		-	
Change in Indebtness during the				
financial year				
Addition	-	-	-	-
Reduction	-		-	

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VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

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A. Remuneration to Managing Director, Whole-time Directors and/or Manager- INR in Million

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Sl. No.	Particulars of Remuneration	Raunak Varma	Abhijit Gupta	TOTAL
		Managing	Whole-time	AMOUNT
		Director	Director	
1.	Gross salary	-	7.31	7.31
	(a) Salary as per provisions			
	contained in section 17(1) of the			
	Income-tax Act, 1961			
	(b) Value of perquisites u/s	-	-	
	17(2) Income-tax Act, 1961	-	-	
	(c) Profits in lieu of salary			
	under section 17(3) Income-tax			
	Act, 1961			
2.	Stock Option	-	-	
3.	Sweat Equity	-	-	-
4.	Commission			
	- As % of profit	-	-	-
	- Others,	-	-	-
	specify			
5.	Others,			
	Bonus	-	0.29	0.29
	Contribution to Provident Fund		0.69	0.69
	Other Perks		0.37	0.37
	Lease Rent		0.06	0.06
	Total	-	8.72	8.72
	Ceiling as per the Act	NA	NA	NA

B. Remuneration to Other Directors- INR in Million

Sl. No.	Particulars of Remuneration	Name of Directors		Total Amount	
	1. Independent Directors				
	·				
	• Fee for attending board/committee	-	-	-	
	meetings				
	Commission	-	-	-	
	• Others, please specify	-	-	-	
	Total(1)	-	-	-	
	2. Other Non- Executive Directors				
	• Fee for attending board/committee	-	-	-	
	meetings				
	Commission	-	-	-	
	• Others, please specify	-	-	-	
	Total (2)	-	-	-	
	Total (B)= $(1+2)$	-	-	-	
	Total Managerial Remuneration	-	-	-	
	Overall Ceiling as per the Act	-	-	-	

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD – (INR Million)

SI.	PARTICULARS OF			
No.	REMUNERATION	ANSHUMAN SINGH TOMAR, COMPANY SECRETARY	CHIEF FINANCIAL OFFICER	TOTAL
1.	Gross salary (d) Salary as per provisions contained in section 17(1) of the Income- tax Act, 1961 (e) Value of perquisites u/s 17(2) Income-tax Act, 1961 (f) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0.45	-	0.45
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission - As % of profit - Others specify			
5.	Others, please specify	-	-	-
	Total	0.45	NA	0.45

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

Туре	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/C OURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	NOT APPLICABLE				
Punishment					

Compounding		
В.	RECTORS	
Penalty		
Punishment	NOT APPLICABLE	
Compounding		
С.	THER OFFICERS IN DEFAULT	
Penalty		
Punishment	NOT APPLICABLE	
Compounding		