

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
AS ON FINANCIAL YEAR ENDED ON 31.12.2018
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014

I. REGISTRATION & OTHER DETAILS:

CIN	U29220DL1992PTC344615
Registration Date	23/11/1992
Name of the Company	CNH INDUSTRIAL (INDIA) PRIVATE LIMITED
Category/ Sub-category of the Company	COMPANY LIMITED BY SHARES INDIAN NON-GOVERNMENTAL COMPANY
Address of the Registered office & contact details	Level-4, Rectangle-1, D-4, District Center, Commercial Complex, Saket, New Delhi-110017
Whether listed company	No
Name, Address & contact details of the Registrar & Transfer Agent, if any.	LINK INTIME INDIA PVT LIMITED C-101, 247 Park, LBS MARG, Vikhroli, Mumbai 400083 (MH)

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S.No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company (approximately)
1.	Manufacture of tractors, harvesters and other heavy machinery use in agriculture.	28211	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANIES:

S.No.	Name of the Company	CIN/GLN	Holding/ Subsidiary/ Associates	% of Shares Held	Applicable Section
1.	CNH Industrial Asian Holding Limited N.V.	NA	Holding Company of CNH Industrial (India) Pvt. Ltd.	99.99%	2(46) of the Companies Act 2013
2.	Case New Holland Construction Equipment (India) Private Limited.	U29240DL1998PTC344616	Associate Company of CNH Industrial (India) Pvt. Ltd.	50%	2(6) of the Companies Act 2013

i)Individual shareholders holding nominal share capital up to Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii)Individual shareholders holding nominal share capital	-	-	-	-	-	-	-	-	-
in excess of Rs 1 lakh									
c)Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B) (2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	37,99,998	12,03,69,004	12,41,69,002	100%	37,99,998	12,03,69,004	12,41,69,002	100%	No Change

ii) Shareholding of Promoter-

S.No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	*CNH Industrial Asian Holding Limited N.V.	12,41,69,001	99.99%	-	12,41,69,001	99.99%	-	99.99%
2	CNH Industrial Belgium N.V.	01	0.01%	-	01	0.01%	-	0.01%

	Total	124169002	100%		124169002	100%		100%
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iii) Change in Promoters' Shareholding

Sl. No.	Name of the Promoter	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
	NA	NA		NA	

iv) Shareholding pattern of top ten shareholders (other than Directors, Promoters and holders of GDRS and ADRS)

Sl. No.	Name of the Promoter	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
	In the beginning of the year	-	-	-	-
	Change during the year	-	-	-	-
	At the end of the year	-	-	-	-

v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Name of the Directors	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
	For Each of the Directors and KMP				
	At the beginning of the year	-	-	-	-
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for Increase/Decrease (e.g. allotment/transfer/bonus/sweat equity etc.):	-	-	-	-
	At the end of the year	-	-	-	-

V. INDEBTEDNESS

Indebtness of the Company including Interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits+	Unsecured Loans	Deposit	Total Indebtness
Indebtness at the beginning of the financial year				
Principal Amount	-	-	-	-
Interest due but not paid	-	-	-	-
Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtness during the financial year				
Addition	-	-	-	-
Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtness at the end of the financial year				
Principal Amount	-	-	-	-
Interest due but not paid	-	-	-	-
Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sl. No.	Particulars of Remuneration	MANAGING DIRECTOR*	MANAGING DIRECTOR	Whole time Director	Total Amount
		MR. GABRIELE LUCANO	MR. RAUNAK VARMA	MR. NARINDER MITTAL*	
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0.37	1.59	5.98	7.94
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-

	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2.	Stock Option	-	-	-	-
3.	Sweat Equity	-	-	-	-
4.	Commission - As % of profit - Others, specify...	-	-	-	-
5.	Others				
	Bonus	-	-	2.42	2.42
	Contribution to PF	-	1.59	0.32	1.91
	Other perks	2.98	13.15	1.49	17.61
	Lease rent	0.50	2.05	-	2.54
	Total (A)	3.85	18.38	10.21	32.42

Resignation w.e.f March 2nd, 2018

* Appointment as Whole Time Director w.e.f March, 30th 2018.

B. Remuneration to Other Directors

Sl. No.	Particulars of Remuneration	Name of Directors		Total Amount
1.	Independent Directors <ul style="list-style-type: none"> • Fee for attending board/committee meetings • Commission • Others, please specify 	NA	NA	NA
	Total (1)	NA	NA	NA
2.	Other Non- Executive Directors <ul style="list-style-type: none"> • Fee for attending board/committee meetings • Commission • Others, please specify 	NA	NA	NA
	Total (2)	NA	NA	NA
	Total (B)= (1+2)	NA	NA	NA
	Total Managerial Remuneration	NA	NA	NA
	Overall Ceiling as per the Act	NA	NA	NA

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD

Sl. No.	Particulars of Remuneration	(INR in Million)	
		COMPANY SECRETARY (MR. SACHIN BISHNOI)	CHIEF FINANCIAL OFFICER @ (MR. DAVIDE BECCARIA)
1.	Gross salary	1.45	0.74
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2.	Stock Option	-	-
3.	Sweat Equity	-	-
4.	Commission	-	-
	- As % of profit	-	-
	- Others specify...	-	-
5.	<u>Others</u>		
	Bonus	0.29	-
	Contribution to PF	0.10	0.29
	Other Perks	0.97	2.99
	Total	2.81	4.02

@ Appointment as Chief Financial officer w.e.f. 17th September, 2018.

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/C OURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding				-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding			-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

